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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applica | ant(s): JONES, Richard et al. | Examiner: | Not yet assigned |
|----------|---|-------------------------|--------------------------------------|
| Serial N | No.: Not yet assigned | Group Art Unit: | Not yet assigned |
| Filed: | Herewith | Attorney Docket No | . P-6478-US |
| Title: | ATHERMAL BRAGG GRA | ATING | |
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| P. O. B | ssioner for Patents ox 1450 dria, VA 22313-1450 | | |
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| Sir: | | | |
| | INFORMATION I | DISCLOSURE STA | FEMENT |
| | | •. | |
| | Pursuant to 37 C.F.R. §§1.56, 1. | 97 and 1.98, this I | nformation Disclosure Statement |
| include | s Form PTO-1449: | | |
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| | the Examiner, however, since the su | ibject application was | s filed after June 30, 2003, copies |
| • | of United States patents, and/or U | nited States patent a | pplications, and/or United States |
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| | previously cited or submitted to the | ne Patent Office in p | prior application U.S. Serial No. |
| | , filed which is p | properly identified an | d relied on for an earlier effective |
| | filing date under 35 U.S.C. 120 for | | • |
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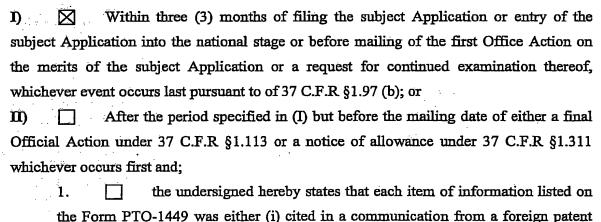
JONES, Richard et al.

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In accordance with MPEP Sections 609 and 707.05(b), it is requested that each and every document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application and is evidence that the Examiner has considered the document.

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| Page 3 | | |

JONES, Richard et al.

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Mark S. Cohen

Attorney for Applicant(s) Registration No. 42,425

submitted,

Dated: March 30, 2004

Eitan, Pearl, Latzer & Cohen Zedek, LLP.

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| | Application Number | Not yet assigned | |
| INFORMATION DISCLOSURE | Filing Date | Herewith | |
| STATEMENT BY APPLICANT | First Named Inventor | JONES, Richard | |
| | Group Art Unit | Not yet assigned | |
| (use as many sheets as necessary) | Examiner Name | Not yet assigned | |
| Sheet 1 of 2 | Attorney Docket Number | P-6478-US | |

| U.S. PATENT DOCUMENTS | | | | | | | |
|---------------------------------|---|--|----------|--|--|--|--|
| Examiner Cite Initials* No.1 | | U.S. Patent Document Number Kind Code ² (If known) | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | 1 | 2002/0197011 | | Liu et al. | Dec. 26, 2002 | | |
| | 2 | 2003/0133658 | | Liu et al. | July 17, 2003 | | |
| | 3 | 2002/0197013 | | Liu et al. | Dec. 26, 2002 | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| | Application Number | Not yet assigned | |
| INFORMATION DISCLOSURE | Filing Date | Herewith | |
| STATEMENT BY APPLICANT | First Named Inventor | JONES, Richard | |
| | Group Art Unit | Not yet assigned | |
| (use as many sheets as necessary) | Examiner Name | Not yet assigned | |
| Sheet 2 of 2 | Attorney Docket Number | P-6478-US | |

| <u>. Sassana</u> | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------|---|----------------|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the | T ² |
| | 4 | Wiesmann, et al. "Large UV-induced negative index changes in germanium-free [nitrogen-doped planar SiO₂ waveguides", Electronic Letters, Vol. 34, No. 4, Feb. 19, 1998, pages 364-366. | |
| | 5 | Wiesmann, et al. "Apodized Surface-Corrugated Gratings With Varying Duty Cycles", [EEE Photonics Technology Letters, Vol. 12, No. 6, June 2000, pages 639-641. | |
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